

Attorney Docket No. 30013630-0011

M-H 0300 SECOR/1/11 PATENT #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:

Dietrich Charisius, et al.

Application No. 09/839,525

Filed: April 20, 2001

For: METHODS AND SYSTEMS FOR FINDING
SPECIFIC LINE OR SOURCE CODE

) Group Art Unit 1765
)
) Examiner: Not Yet Assigned
)

I hereby certify that this document is being deposited
with the United States Postal Service as first class mail
in an envelope addressed to: Assistant Commissioner
For Patents, Box Missing Parts, Washington, D.C.
20231 on August 7, 2001

Antionietta Musto
Antionietta Musto

Assistant Commissioner For Patents
Box Missing Parts
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Dear Sir:

In response to the Notice to File Missing Parts dated June 13, 2001, Applicants submit an executed Declaration and Power of Attorney and a check in the amount of \$3,132.00 to cover the filing fee and surcharge.

As identified in the transmittal filed on April 20, 2001 (copy attached), and pursuant to 37 C.F.R. §1.27, Applicants claim small entity status.

The Commissioner is hereby authorized to credit overpayments or to charge any deficiency in connection with this filing to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: August 7, 2001

By: *Marina N. Saito*
Marina N. Saito
Registration No. 42,121
SONNENSCHN NATH & ROSENTHAL
P.O. Box 061080
Wacker Drive Station, Sears Tower
Chicago, Illinois 60606-1080
(312) 876-8000



#4

CHECK BOX, if applicable: ☐ DUPLICATE
(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ This Form Includes the Fee Transmittal (See Box 18)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status. (See 37 CFR 1.27)
3. ☒ Specification Total Pages 115
(and 1 cover page)
4. ☒ Drawing(s) (35 USC 113) Total Sheets 25
(Figs. 1-23)
5. ☒ Declaration and Power of Attorney Total Pages 3
 - a. ☐ Newly executed (original or copy)
UNEXECUTED
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - c. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting Inventor(s)
named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:

Title of Invention:

Methods and Systems for Finding Specific
Line of Source Code

Express Mail Label No:

EL676990139US

ADDRESS TO:

Assistant Commissioner for Patents
BOX Patent Application
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s)) Total Pages 2
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy Assignment Papers from a prior application
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ IDS By Applicant (PTO/SB/08A or PTO-1449)
 - b. ☐ Copies of IDS Citations are enclosed
 - c. ☐ Copy IDS Citations from a prior application
13. ☐ Preliminary Amendment "A" to
1.53(b) DIVISIONAL Total Pages 2
14. ☒ Return Receipt Postcard (properly addressed; postage prepaid)
15. ☐ Certified copy of Priority Document:
Document No. , filed on
16. ☐ Request and Certification under 35 USC 122
 - a. ☐ Non-publication Request (PTO/SB/35 or equivalent)
 - b. ☐ Publication Request (PTO/SB/35 or equivalent)
17. ☒ Other:
 - a. ☒ Express Mail Certificate (No. EL676990139US)

18. ☒ CONTINUING APPLICATION. If continuing application, check appropriate box and supply the requisite information:
- a. ☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/680,063
 - b. ☒ Prior application information: Examiner Name: Group Art Unit:
 - c. ☒ The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
	TOTAL CLAIMS	268	248	\$18.00	\$4464.00
	INDEPENDENT CLAIMS	15	12	80.00	\$960.00
	ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			270.00	0.00
				TOTAL FILING FEE Reduced by half Small Entity Status	\$6134.00 \$3067.00

- d. ☐ In connection with this application, the Commissioner is hereby authorized to credit overpayments or to charge any additional fee required to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.
- e. ☐ A check including the amount of \$ to cover the total filing fee is enclosed.

19. ☒ CORRESPONDENCE ADDRESS:

DATE: April 20, 2001

SONNENSCHN NATH & ROSENTHAL
P.O. Box 061080
Wacker Drive Station, Sears Tower
Chicago, IL, USA 60606-1080

SIGNATURE

Thomas J. Burton (Reg. No. 47,464)
Phn: (312) 876-8000 Fax: (312) 876-8976
Customer Number: 026263



12F CEEPI

Attorney Docket No. 30013630-0011

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Dietrich Charisius, et al.

Application No. **09/839,525**

Filed: **April 20, 2001**

For: METHODS AND SYSTEMS FOR FINDING
SPECIFIC LINE OF SOURCE CODE

) Group Art Unit: **1765**

) Examiner: **Not Yet Assigned**

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first class mail in an envelope addressed to:
Assistant Commissioner For Patents, Washington,
D.C. 20231 on August 7, 2001.

Antonietta Musto
Antonietta Musto

Assistant Commissioner For Patents
Washington, D.C. 20231

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APR 09 2002

REQUEST FOR CORRECTED FILING RECEIPT

Technology Center 2100

Dear Sir:

Attached is a copy of the official filing receipt received from the PTO in the above-referenced patent application for which issuance of a corrected filing receipt is respectfully requested.

The "Domestic Priority Data as Claimed by Applicant" is misleading as stated in the Official Filing Receipt. Please insert --is-- after "and" and before "a CIP" so that the first two lines of the priority claim is correctly stated as --THIS APPLICATION CLAIMS THE BENEFIT OF 60/199,046 04/21/2000 AND IS A CIP OF 09/680,063 10/04/2000-- as evidenced by a copy of the Declaration and Power of Attorney.

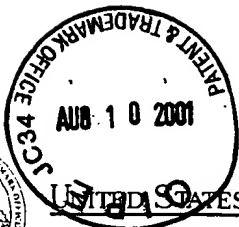
The correction is not due to any error by the applicants. Thus, no fee is due.

Respectfully submitted,

Dated: August 7, 2001

By: *Marina N. Saito*

Marina N. Saito
Registration No. 42,121
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/839,525	04/20/2001	1765	0.00	30013630-0011	25	268	15

CONFIRMATION NO. 2161

026263
SONNENSCHN NATH & ROSENTHAL
P.O. BOX 061080
WACKER DRIVE STATION
CHICAGO, IL 60606-1080

FILING RECEIPT



OC000000006177942

Date Mailed: 06/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Dietrich Charisius, Stuttgart, GERMANY;
Alexander Aptus, Esslingen, GERMANY;

RECEIVED 6/18/01
DOCKETED 07/2
DIARR 6/13/01
B. J. J.

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/199,046 04/21/2000
AND A CIP OF 09/680,063 10/04/2000
WHICH CLAIMS BENEFIT OF 60/157,826 10/05/1999
AND CLAIMS BENEFIT OF 60/199,046 04/21/2000

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Foreign Applications

Technology Center 2100

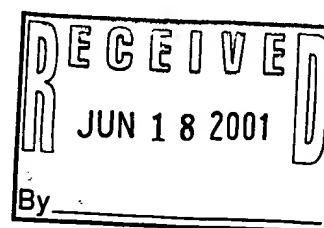
If Required, Foreign Filing License Granted 06/13/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title





717

Date: 06/13/2001

100-443887-100



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

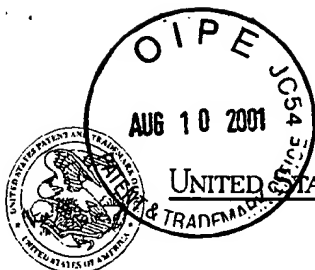
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/839,525	04/20/2001	Dietrich Charisius	30013630-0011

CONFIRMATION NO. 2161

026263

SONNENSCHN NATH & ROSENTHAL

P.O. BOX 061080

WACKER DRIVE STATION

CHICAGO, IL 60606-1080

FORMALITIES LETTER



OC000000006177943

Date Mailed: 06/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/13/2001 BSAYAS11 00000095 09839525

FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00 OP
02 FC:202	480.00 OP
03 FC:203	2232.00 OP
04 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$5424.
 - \$4464 for 248 total claims over 20.
 - \$960 for 12 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 6264.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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4/23/02

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/839,525	04/20/2001	2122	3132	30013630-0011	25	268	15

026263
SONNENSCHN NATH & ROSENTHAL
P.O. BOX 061080
WACKER DRIVE STATION
CHICAGO, IL 60606-1080

CONFIRMATION NO. 2161

CORRECTED FILING RECEIPT



OC000000007386303

Date Mailed: 01/29/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Dietrich Charisius, Stuttgart, GERMANY;
Alexander Aptus, Esslingen, GERMANY;

RECEIVED

APR 09 2002

Technology Center 2100

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/680,063 10/04/2000
WHICH CLAIMS BENEFIT OF 60/157,826 10/05/1999
AND CLAIMS BENEFIT OF 60/199,046 04/21/2000
THIS APPLICATION 09/839,525
CLAIMS BENEFIT OF 60/199,046 04/21/2000

Foreign Applications

If Required, Foreign Filing License Granted 06/13/2001

Projected Publication Date: 01/03/2002

Non-Publication Request: No

Early Publication Request: No

Title

Methods and systems for finding specific line of source code

Preliminary Class

717

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

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